Video-assisted thoracoscopic surgery: pneumonectomy for synchronous primary lung malignancies

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Background: Although video-assisted thoracoscopic surgery (VATS) initially demonstrated slow adoption amongst thoracic surgeons, VATS is now widely accepted and the techniques are associated with equivalent nodal dissection and, improved perioperative morbidity and, in some cases, superior perioperative survival compared to thoracotomy. Using a video-assisted, minimal access technique that requires marginal or no rib spreading, VATS provides improved postoperative pain and decreased time-to-recovery after surgery. However, complex resective cases, such as pneumonectomy, are not commonly accomplished minimally invasively. We share the surgical technique for VATS pneumonectomy based on our experience.

Methods: A 71-year-old patient underwent VATS pneumonectomy for synchronous, ipsilateral primary non-small cell lung cancer (NSCLC).

Results: Our patient had no perioperative complications and was discharged to home on postoperative day 4. The patient’s pain was managed with oral analgesics. Greater than ten lymph nodes were examined, all margins were negative for residual tumor. The patient did not require adjuvant radiation or chemotherapy.

Conclusions: VATS pneumonectomy is a safe and effective procedure that provides many clinical benefits to the patient. Our results suggest that clinicians should consider VATS pneumonectomy for primary treatment of patients with synchronous primary lung cancers when appropriate.

Keywords: Multiple primary lung malignancies; video-assisted thoracoscopic surgery (VATS); pneumonectomy; minimally invasive surgery

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Introduction

Surgical treatment of non-small cell lung cancer (NSCLC) is optimal for early stage disease and is associated with reduced risk of local recurrence and improved long-term survival (1-5). Open surgical resection for NSCLC, involving thoracotomy and spreading of the ribs, is associated with increased postoperative pain and pulmonary complications when compared to minimally invasive surgical techniques, including video-assisted thoracoscopic surgery (VATS) (4,6-8). In addition to having similar lung and mediastinal dissection quality to thoracotomy procedures, benefits of VATS include: (I) reduced surgical trauma; (II) earlier return to normal daily activities; (III) better preservation of pulmonary function; and (IV) improved postoperative recovery leading to increased likelihood of completing an adjuvant chemotherapy regimen (4,6,8-15). Not unlike VATS lobectomy, researchers have reported improved long term survival for VATS pneumonectomy compared to open thoracotomy, despite the VATS group having more preoperative complications and a greater
Multiple primary malignancies are a term used to define the presence of two or more separate primary malignancies in an individual patient (21). The development of multiple primary lung cancer (MPLC) is suggestive of continual exposure to risk factors for lung cancer. Patients have a 1–15% risk of developing MPLC per year (21,22). Previous studies have suggested that synchronous, central, ipsilateral upper and lower lobe malignancies are an indication for VATS pneumonectomy (8,20). A multidisciplinary team management approach of MPLC is optimal and primary surgical treatment or combined modality treatment strategies should be considered on a case by case basis (3).

We present a patient who underwent left VATS pneumonectomy for synchronous primary lung cancer and detail the technical aspects of the procedure (Figure 1).

**Patient selection and workup**

VATS is a surgical technique that has allowed for older patients, with more comorbidity, to be considered for surgical treatment when they otherwise may have been excluded (8,11,20). VATS pneumonectomy is a novel surgical treatment option and shares the same indications for pneumonectomy as traditional thoracotomy procedures. Contraindications for VATS pneumonectomy include: (I) extensive cancer spread to the chest wall or mediastinum; (II) complicated vascular reconstruction; and (III) lesions larger than 5 cm which may be difficult to extract thru a small incision without significant rib spreading (8). Because tumor compressibility varies, individual evaluation of patient candidacy for VATS pneumonectomy is required.

This study was exempt from review by the Institutional Review Board at the University of California, Davis. Informed consent was obtained from the patient. Our patient is a 71-year-old woman with a history of poorly controlled asthma, chronic pain, hypertension and diabetes who was incidentally found to have a left lower lobe nodule on workup for shoulder surgery. A separate mass was identified in the lingula. She reported mild dysphagia, wheezing and chronic cough productive of clear sputum but denied fevers, night sweats, and unintentional weight loss. Her performance status (Zubrod Score) was 1.

Preoperative computed tomography (CT) scan demonstrated a lower lobe mass with peribronchial soft tissue thickening and irregularly spiculated margins along the inferior lingular bronchus (Figure 2). Positron emission tomography (PET) scan showed a hypermetabolic left lower lobe mass with standardized uptake values (SUV) of 7.0, highly suspicious for primary lung cancer, and a second mass in the lingula with SUV of 2.9. There was no evidence of mediastinal or hilar hypermetabolic lymph nodes or signs of metastatic disease (Figure 3). CT-guided core needle biopsy of the lingular mass revealed adenocarcinoma positive for thyroid transcription factor 1 (TTF-1), which is a histological marker for lung development, and correlative for a primary lung tumor (24). Our patient’s myocardial perfusion scan was within normal limits and her pulmonary function tests (PFTs) revealed adequate function to tolerate a pneumonectomy with a forced expiratory volume in one second (FEV1) of 2.50 liters (108% predicted) and a diffusing capacity of the lungs for carbon monoxide (DLCO) of 92% predicted. No lung quantitative scan was performed.

This case was discussed in multidisciplinary Tumor Board. Given the histologically confirmed malignancy in the lingula and highly suspicious left lower lobe mass, two treatment options were offered to the patient: (I) invasive mediastinal staging followed by intraoperative confirmation of synchronous primary tumors and left pneumonectomy.
or (II) left lower lobectomy with radiation to the lingular malignancy. After shared-decision making, our patient elected to undergo the option resulting in completion of left pneumonectomy.

**Preoperative preparation**

General anesthesia with selective single lung ventilation was performed using a double lumen endotracheal tube (18). After completion of bronchoscopy and a mediastinoscopy negative for abnormal mediastinal lymph nodes, our patient was positioned in the right lateral decubitus position with full hip flexion to maximize intercostal space (ICS) and to prevent impingement of the thoracoscope.

**Equipment preference card**

The surgical instrument tray contains the following equipment (Figure 4):
- 10 mm 30 degree rotating scope, 10mm short metal trocar;
- Long curved ring clamp;
- Short curved ring clamp;
- Harken (curved) clamps, long and short;

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**Figure 2** Preoperative chest computed tomography (CT) revealed a 3.3 cm mass in the left lower lobe and peribronchial soft tissue thickening along a 4 cm length of inferior lingular bronchus.

**Figure 3** Positron emission tomography (PET) demonstrated a 2.9 cm × 2.6 cm hypermetabolic left lower lobe mass (A) with max SUV 7.0. A second 1.6 cm × 1.7 cm hypermetabolic lingular mass (B) was visible with max SUV 2.9. SUV, standardized uptake values.

**Figure 4** Instrument tray for VATS pneumonectomy. VATS, video-assisted thoracoscopic surgery.
• Umbilical tapes;
• Long Metzenbaum scissors;
• DeBakey forceps;
• Weitlaner retractor;
• Low profile soft tissue retractor;
• Surgical stapler with tissue and vascular loads;
• Sponge stick with open thoracotomy tray (in the event of bleeding the sponge stick is used to tamponade the bleeding while the chest is opened);
• Surgical specimen bag.

Procedure

Port placement

Port placement is critical to successful VATS procedures to ensure correct video orientation. VATS procedures are complicated by the generation of paradoxical motion, produced when the camera and instruments are facing each other, however this can be amended by turning the camera 180 degrees to restore normal spatial orientation for the operator (18).

The first incision was created in the 9th ICS in the anterior axillary line for camera port access. Local anesthetic was injected into the ICSs to provide a nerve block that reduces surgical stimulation and eases postoperative pain. Once the pleural space was entered, the 12 mm metal trocar and 10 mm camera were introduced into the chest. In general, camera placement at the 8th or 9th ICS in the midaxillary line is optimal for right sided VATS, whereas for left sided procedures, posterior placement of the camera may be necessary to avoid visual obstruction by the pericardial fat pad (18). Additional incisions were made under direct vision in the 8th ICS in the posterior axillary line and the 6th ICS in the anterior axillary line for an access incision.

Initial inspection of the pleura, exposure of the hilum, and visualization of the inferior pulmonary ligament were accomplished via lung manipulation with ring forceps. Rib spreading was not necessary, but a low profile soft tissue retractor was used to separate the chest wall tissue, prevent lung expansion during suctioning and to provide adequate space for passage of instruments into the chest. Care was taken to avoid placing pressure on the inferior surface of the chest wall to prevent postoperative neuralgia from compression of intercostal nerves.

Release of the pulmonary ligament

Upon entry of the camera into the thorax, the thoracic cavity was inspected for evidence of pleural effusion and pleural studding. After adhesions between the lung and chest wall and mediastinum were separated, the inferior pulmonary ligament was dissected. The inferior pulmonary ligament was divided to the level of the inferior pulmonary vein and the posterior mediastinal pleura were opened to provide hilar mobilization. Inferior pulmonary ligament, periesophageal, and subcarinal lymph nodes were removed during this dissection. A wedge resection was performed to confirm malignancy in the left lower lobe mass.

Division of the inferior and superior pulmonary veins

Next, the inferior and superior pulmonary veins were dissected. Before division of the veins with a stapler, tension was released on the parenchyma to allow stapling via a tension-free technique. It may be necessary to also divide the anterior mediastinal pleura, thus facilitating mobilization of the veins off the pulmonary artery. All hilar nodes encountered were removed at this time.

Isolation and retraction of the bronchus

A combination of blunt and sharp dissection was used to isolate the bronchus and separate it from the left main pulmonary artery (LMPA). An umbilical tape was passed around the bronchus to allow for inferolateral retraction of the lung to facilitate exposure of the LMPA.

Division of the pulmonary artery and bronchus

Dissection and isolation of the pulmonary artery was achieved with combination blunt and sharp dissection and facilitated by removal of lymph nodes from stations 5, 6, 7 and 10. Approach from the anterior and posterior aspects of the hilum may be necessary to achieve a safe and complete dissection. The posterior pleura and other pleural attachments may also require dissection off the pulmonary artery and bronchus via sharp and blunt dissection. Inferolateral retraction of the bronchus is key during this portion of the procedure.

Once the pulmonary artery was isolated, a test occlusion was performed prior to division of the pulmonary artery with a stapler. In patients with questionable cardiac function or physiologic status, it may be best to approach pneumonectomy from a thoracotomy so that test occlusion of the pulmonary artery can be achieved before committing to pneumonectomy.
After division of the LMPA, the bronchus was the remaining intact structure and was divided with a stapler. Care should be afforded to stump length; if the tumor has endobronchial involvement, flexible bronchoscopy is used to verify exclusion of all tumor with the stapler. The lung was maximally retracted to allow for the shortest stump feasible. We placed the resected lung in a large specimen bag and it was removed from the patient through the access incision.

**Systemic lymph node dissection**

Mediastinal lymph node dissection was performed as is standard. Nodes were sampled from stations 5, 6, 7, 8, 9, and 10.

The thoracic cavity was irrigated and the bronchial stump was interrogated for air leak. Chest tube placement is at the surgeon’s discretion. In our case, we elected to place a tube to water seal that was removed on postoperative day one. Two nerve block catheters were placed at the 6th ICS and in the chest wall to provide continuous peripheral nerve block for postoperative pain management.

**Role of team members**

As with all surgical procedures, a “team” approach is necessary to facilitate efficiency in the operating theatre. The surgical team consists of:

- Surgeon and assistant;
- Anesthesiologist;
- Circulating nurse;
- Scrub nurse.

The surgeon is the leader and directs other team members to ensure coordination of care in the operating room. It is fundamental that the surgeon be trained in VATS technique and has experience with open pneumonectomy principles. Additionally, the surgeon should be prepared to guide the surgical team in addressing and resolving unanticipated intraoperative events and complications. Prior to initiation of this procedure, the surgeon should review emergency procedures audibly with the entire team. Blood availability should be discussed and technique for emergency thoracotomy should be addressed to ensure availability of correct surgical instruments.

The anesthesiologist is key member of the team responsible for monitoring and maintaining the patient’s hemodynamic and pulmonary stability. The anesthesiologist will perform single lung ventilation in the patient to avoid intraoperative hypoxemia. Additionally, the anesthesiologist must maintain analgesia throughout the operation to minimize surgical stimulation. Close communication regarding fluid management and blood pressure management, especially in preparation for division of the pulmonary artery is critical to the safety of VATS pneumonectomy.

**Postoperative management**

Postoperative course after pneumonectomy includes aggressive pulmonary and cardio-physiotherapy, strict aspiration precautions, and limitation of intravenous fluids (18).

Our patient was extubated in the operating room and transferred to the intensive care unit for close monitoring. Her chest tube was removed on postoperative day #1 and she was transferred to the general thoracic ward. Our patient’s pain was managed with intercostal nerve blockade, intravenous patient controlled analgesia (PCA) and oral analgesics. PCA was discontinued on postoperative day #0.

Careful selection of patients and detailed intraoperative management is key to the success of VATS pneumonectomy. Isolation of the bronchus from the pulmonary artery using intracorporeal retraction is key to the feasibility of this procedure. A cohesive team is necessary to ensure safety in the event of an intraoperative catastrophe. In select patients, VATS pneumonectomy offers a definitive therapeutic approach for synchronous primary malignancies.

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**Footnote**

**Conflicts of Interest:** The authors have no conflicts of interest to declare.

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**Disclaimer:** The content is solely the responsibility of the authors and does not necessarily represent the official views of the NIH.
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