Minimally invasive mitral valve repair using external clamping—pearls and pitfalls

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Abstract: Minimally invasive mitral valve surgery is safe, effective and increasingly popular for patients with valvular pathology. After minimally invasive mitral valve surgery, patients report less pain, shorter hospital stay and better cosmetic results compared to other more invasive approaches. To perform this, cardiopulmonary bypass is needed and aortic occlusion is a critical step in its setup. It is performed worldwide with the use of either trans-thoracic external aortic cross-clamping or endo-aortic balloon occlusion. Both possibilities present peculiar advantages and limitations whose current evidence is based on few observational studies. This article will present the use of the trans-thoracic external aortic cross-clamp in further detail within the setting of minimally invasive mitral valve surgery. We conducted a literature search and discuss the differences in perioperative outcomes and complications between the endo-aortic balloon and the trans-thoracic external aortic cross-clamp technique during elective minimally invasive mitral valve surgery. According to a systematic review and meta-analysis published in 2019 by the Bristol group, the endo-aortic balloon technique was associated with a higher risk of aortic dissection. Endo-aortic balloon occlusion with aortic cannulation offers the shortest extracorporeal support times. Both techniques are safe and have similar rates of cerebrovascular accident and survival.

Keywords: Minimally invasive; mitral valve; trans-thoracic clamping; external clamping; cross-clamping; endo-aortic occlusion; endo-aortic clamping; balloon clamping; aortic clamping; mitral valve repair; cardiac surgery; review; pitfalls

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Background

Minimally invasive cardiac surgery has increasingly been used for patients with valvular pathology (1-3). There are two techniques used in aortic clamping: trans-thoracic external aortic cross-clamping (TTC) and endo-aortic balloon occlusion (EABO) (4-6) as depicted in Figure 1. Both options present specific advantages and limitations whose current evidence is based on few retrospective observational studies only (7-9). Rival et al. (10) recently published the results of their systematic review and meta-analysis on the topic of TTC versus EABO in minimally invasive mitral valve surgery (MIMVS). They came to the conclusion that both techniques are safe and have similar rates of...
cerebrovascular accident (CVA) and survival (10). EABO was associated with a higher risk of aortic dissection (10). EABO with aortic cannulation offers the shortest extracorporeal support times (10). In their opinion, there is little evidence to support the adoption of one technique over another other than personal choice (10). In such a position of true equipoise, they would therefore encourage the careful design of a randomized controlled trial (RCT) comparing TTC and EABO in specific participant subgroups (10). Our article serves as a step-by-step guide on how to perform MIMVS using the trans-thoracic external aortic cross-clamp and elaborates on the pearls and pitfalls of this technique. Additionally, we performed a literature search with the primary objective to evaluate outcomes and the incidence of major complications associated with these two techniques.

**Step-by-step**

**Preoperative planning of the procedure utilizing ECG synchronized computed tomographic imaging of the chest and the aorto-ilio-femoral vasculature**

The optimal preoperative computed tomographic angiography (CTA) extends from the upper thoracic aperture to the lesser trochanter. This is to include the thoracic cage as well as the thoracic and abdominal aorta, the iliac arteries and common femoral arteries, the latter constituting the most common vascular access site in MIMVS. When assessing the chest CT-scan, there are several factors that need to be taken into consideration: the elimination of ascending aortic disease, the detection of significant mitral valve (MV) annular calcification and the evaluation of other anatomic abnormalities. Ascending aortic disease must be ruled out because the aortic occlusion is accomplished with either an external cross-clamp or by means of EABO. In aortas with a diameter of more than 4 cm, complete occlusion with an endo-aortic balloon becomes less consistent. For inexperienced surgeons, significant MV annular calcification is a relative contraindication for MIMVR. The fourth intercostal space is most commonly used for the working port; however, a preoperative CT-scan may help guide the surgeon above or below this space to be better aligned with the mitral valve plane. With respect to anatomic abnormalities, they should be assessed because they could potentially complicate any surgery. These abnormalities include damage to the chest wall, ribs or diaphragm. MIMVR can be performed with central cannulation techniques (11) although to minimize the chest incision and rib spreading...
and to provide clear access to the MV, most surgeons prefer peripheral cannulation. Thorough knowledge of peripheral vascular anatomy is needed for this approach and a CTA of the chest, abdomen and pelvis, preferably with contrast, supplies the most useful information. Without contrast, the CT-scan is still useful but may not expose subtleties in soft plaque which are important for peripheral cannulation and retrograde arterial perfusion (RAP). When planning femoral arterial access, the femoral and iliac arteries and aorta should have minimal aneurysmal disease, thrombus or calcium. Evidence of an iliac or femoral artery dissection is a contraindication for peripheral arterial cannulation. Patients with a history of peripheral vascular disease should undergo evaluation with lower extremity non-invasive studies and/or lower extremity CTA, as cannulation can result in lower-extremity ischemia while on CPB. Nevertheless, in cases with borderline ischemia, the surgeon can still opt for additional distal leg perfusion through an extra smaller cannula. The venous anatomy should also be examined. It is unusual that femoral venous access cannot be used, however patients with a history of deep vein thrombosis, and particularly those with an inferior vena cava (IVC) filter, may require a CT venogram to ensure the IVC is patent. Besides, three-dimensional (3D) reconstructions of preoperative CT-scans greatly support the better understanding of complex cardiac anatomy, preoperative surgical planning and improve communication within the multidisciplinary team. They often add important new anatomical findings and prompt alternative operative scenarios. As depicted in Figure 2A and 2B, a 3D reconstruction of a preoperative CTA precisely determines the ideal position for the chest incision. On the other hand, as shown in Figure 2C, the ascending thoracic aorta does not show any calcifications nor atherosclerotic plaques meaning that cross-clamping the ascending aorta with an external direct trans-thoracic clamp would be safe. Additionally, CT-scans can also show significant coronary artery disease. Figure 3 displays the workflow of several modules from the 3mensio Structural Heart software package for the assessment of the surgical approach route. Of course CT post processing could be performed with alternative software tools such as syngo® via osiriX, TeraRekon just to mention a few options commonly used.

**Patient positioning & anaesthetics**

The patient is intubated with a standard single lumen endotracheal tube. The topic of whether or not a double lumen tube is required for this procedure is still a matter of discussion within the surgical community. The patient is positioned supine with a small pillow under the right scapula to elevate the right hemithorax. If trans-thoracic external aortic cross-clamping is planned, placement of one unilateral radial arterial catheter is sufficient in contrast to the EABO technique where bilateral radial pressure monitoring is required.

**Surgical access**

A 4 cm right lateral minithoracotomy, inframammary in men and in the submammary crease in women, is used to enter the thorax through the fourth intercostal space.
A small thoracic soft-tissue retractor is utilized to spread the tissue without the use of a metal rib retractor. An alternative access as a variation of the standard right lateral minithoracotomy is the periareolar approach. This minimally invasive periareolar approach for surgical MVRepair in male patients entails a very limited 3 cm convex incision that straddles the right areolar border (12).

Cannulation & cardiopulmonary bypass

There are four goals of cannulation and perfusion; to provide complete drainage to the heart, to provide adequate systemic perfusion, to minimize risks of malperfusion or vascular injury and to minimize extra time and costs (13). CTA is indispensable in choosing arterial access and planning of the fully percutaneous approach. The larger, less diseased common femoral artery is generally used for arterial perfusion for minimally invasive cardiac surgery. The size and suitability of the femoral artery can be foreseen by CTA measurement. In situations where the vessel is not diseased but simply very small, the addition of a distal perfusion cannula can be considered. However, this is rarely used by our group as CPB times tend to be relatively short. Depending on the size of the common femoral artery and the required predicted flow, arterial cannulas ranging from 16 to 20 FR are typically used for arterial perfusion. If the size of the arterial cannula is rather small, a higher arterial line pressure should be anticipated. It is critical to monitor correct guidewire placement by TEE before inserting the cannulas in the Seldinger technique. Right or left axillary artery cannulation can be used if the femoral system is not suitable for arterial inflow or to avoid retrograde flow in case of a “dirty” aorta to avert a stroke. MIMVR becomes unquestionably more challenging without adequate venous drainage as visualization can be impaired and myocardial protection can also become compromised. Under TEE guidance, a multistage or a stented partially covered femoral venous catheter is typically placed from the right femoral vein and finally positioned in the superior vena cava (SVC). The right femoral vein has a more favorable relationship with the iliac artery and is therefore usually preferred over the left one. Venous drainage can be aided by placing a second venous line (15FR to 18FR) into the SVC. This can be done by using a low right jugular approach and is

Figure 3 Assessment of the trans-femoral, trans-subclavian or even direct aortic approach to define the optimal cannulation route for MIMVS. (A) 3D reconstruction of a preoperative CTA of the greater vessels. (B1–2) Automated snake-view of the RCFA, the iliac vessels as well as the descending and ascending aorta. At the height of the right femoral head, insertion of a 23 FR arterial cannula into the RCFA will not be totally occlusive. (C1–2) The RCFA was measured to have a maximum diameter of 10.8 mm.
either done by the anesthesiology team or by the surgeon, using a small previously placed sheath. In this case, the femoral venous cannula would only be advanced to the IVC right atrial junction. Adding an SVC cannula is especially useful in the setting of tricuspid or ASD procedures. An alternative technique would be to use a single femoral dual-stage cannula which does allow for a complete bypass. At the author's institution the standard approach is to only use one venous cannula inserted via the right common femoral vein. Furthermore, every patient receives cranial near-infrared spectroscopy (NIRS) monitoring intraoperatively heralding potential problems regarding upper-body venous drainage. In case NIRS is dropping uni- or bilaterally in combination with relatively high central venous pressure values, the position of the cannula needs to be corrected. The usual scenario entails a dislodgement of the cannula tip from the SVC into the right atrial appendage after mitral valve exposure. A very easy bail-out manoeuvre in case of inadequate overall drainage is to place a second small (19F) femoral venous cannula through the contralateral femoral vein. With regard to CPB, body temperature is maintained around 34 °C, DO₂ guided-perfusion is utilized and vacuum-assisted (20–60 mmHg) venous drainage is used throughout the whole procedure. At the author's institution, DO₂-guided perfusion is mainly used to safely lower the arterial pump-flow to optimize drainage. Typical flow rates are 80% of the pre-calculated flow based on an index of 2.4 L/min/m².

**Direct external aortic cross-clamping with a trans-thoracic clamp & cardiac protection**

Most MIMVR surgeons start by performing trans-thoracic external aortic cross-clamping. This technique is familiar and enables the direct clamping of the aorta in the same way as one would do in a sternotomy. The technique is inexpensive as clamps are reusable. It works like a ‘lobster pincer’ since only one of the two branches is actuated by the handle while the other is straight (14). The trans-thoracic cross-clamp is placed through an entry site in the chest wall, anterior to the SVC. To avoid potential obstruction of the upper-body venous drainage from the cross-clamp, bicaval cannulation or correct positioning of a single venous femoral cannula above the area where the cross-clamp crosses to the SVC should be attained. The trans-thoracic external aortic cross-clamp can be inserted via a small stab wound through the second or third ICS at the right midaxillary line and positioned near the ascending aorta. While clamping, the surgeon needs to make sure not to harm the LA appendage or the left and right pulmonary artery. Apart from that, the antegrade cardioplegia puncture hole is of primordial importance. Some surgeons dissect the plane using electrocautery between the ascending aorta and the right pulmonary artery and then place their trans-thoracic clamp more cranially in order to gain a greater landing zone for the antegrade cardioplegia catheter. Also, to have their antegrade cardioplegia catheter at the fatty band region on the right lateral side of the ascending aorta because this is where the stronger and healthier epicardial tissue is. Moreover, if the surgeon is choosing the option of putting his cross-clamp caudally from the right pulmonary artery without dissecting the tissue between the aorta and the pulmonary artery, he will have to perform blunt dissection with the suction and make the transverse sinus free (Figure 4A). On the other hand, a second clamp can be utilized in addition to the primary trans-thoracic cross-clamp, which will pull the ascending aorta through the lateral mini-thoracotomy caudally after reducing pump flow. Then, closing the primary trans-thoracic cross-clamp which is placed through the second or third ICS at the right midaxillary line (Figure 4B). This results in a larger epicardial surface to place the antegrade cardioplegia catheter (Figure 4C). Next, the surgeon punctures above the aortic fatty band without placing any aortic root suture thus saving another stab wound into the chest to externalize any prolene sutures (Figure 4C). Afterwards, Custodiol® cardioplegia is delivered directly into the aortic root with a pressure of 150 mmHg on the line, resulting in a rate of approximately 300 mL per minute (Figure 4C). In general, between 1,200–1,500 mL of Custodiol® is given as a single shot depending on preoperative cardiac function and left ventricular (LV) mass. Subsequently, when cardioplegia is administered, the antegrade cardioplegia catheter is pulled out of the surgical field with the puncture hole left open.

**High definition 3D endoscopic visualization**

Once the thorax has been entered, a high definition 30° thoracoscope is placed into the chest via a 10 mm port at the same ICS at the right anterior axillary line but below the mini-thoracotomy for high definition fully endoscopic 3D MIMVS. The thoracoscope not only provides an additional view from which to perform subsequent work but also brightly illuminates the entire chest. Throughout the procedure, the surgical field is flooded with carbon dioxide...
(CO\textsubscript{2}) through the camera port.

**Intrathoracic and mitral valve exposure** (Figure 5)

In case the right hemi-diaphragm is found to be too high cranially into the thoracic cage after mini-thoracotomy, often seen in obese patients, the right hemi-diaphragm can be retracted caudally and to the right with a superficial suture placed in the tendinous dome. This is then brought out by a suture hook through a stab incision in the right sixth or seventh intercostal space. The pericardium is opened 4–5 cm anteriorly and parallel to the phrenic nerve from the distal ascending aorta to the diaphragm.

**Surgical mitral valve repair** (Figure 6)

The MV is accessed through an incision into the Waterston’s groove and a LA retractor is used to expose the MV. MV repair for degenerative MV disease is most commonly performed utilising the Gore-Tex neochordae using the “Loop technique”, the details of which have been described by Ulrich Otto von Oppell and Friedrich W. Mohr (15). The fundamental aspects of this technique (16) are the assessment of the optimal length and precise fixation of neochordae to the papillary muscles and the free edge of the mitral leaflets on the level of the mitral annulus. An annuloplasty ring is implanted to support the repair and the geometric dynamics of the mitral valve. Sutures can be either tied manually using a knot-pusher or with the help of the CorKnot device (LSI). MV competency is restored in patients with Barlow’s disease, utilising different techniques from leaflet resection to neochordae to Alfieri’s edge-to-edge repair (6,17). Functional mitral regurgitation is corrected utilising an undersized annuloplasty ring alone or with the addition of subvalvular repair techniques (18).

**De-airing & closure** (Figure 7)

After completing the mitral procedure and flooding the LV with CO\textsubscript{2}, the vent can either be placed in the LV (enhances de-airing) or in the LA only (eases LA closure). Antegrade de-airing through the cardioplegia puncture hole (by
gentle cardiac compressions with a sponge stick) as well as retrograde de-airing via the left atriotomy is then completed using modest inflation of the lungs in addition to relying on the CO\textsubscript{2} to flood the operative field.

The cardioplegia hole is secured by one felt supported 4-0 U-stich which can be tied manually or by using a CorKnot. Finally, the external trans-thoracic cross-clamp is released under non-pressurized conditions. Epicardial pacing wires should be placed while the heart is still decompressed on CPB. Following this, separation from CPB, decannulation, TEE examination of adequacy of mitral repair, and reversal of anticoagulation are all conducted in a standard fashion.

Clinical results & discussion

Endo-aortic balloon occlusion is the alternative to the trans-thoracic external aortic cross-clamp (7,19-25). The resulting absence of a cardioplegia puncture hole in the ascending aorta is a distinct advantage of the endo-aortic balloon occlusion technique. This eradicates the need to suture the aorta and the risk of bleeding from the puncture site (19).

It is also useful for re-do procedures where external cross-clamping is cumbersome due to adhesions (19,26). The disadvantages of the endo-aortic balloon occlusion technique include having to place the catheter through the arterial cannula thereby reducing the effective size of the arterial cannula (19). A second arterial cannula can be placed if the result is high line pressures or inadequate flow (19). It has also been observed that the distal balloon can migrate distally resulting in innominate artery occlusion (19). Distal balloon migration can, however, be easily recognized by monitoring bilateral radial artery pressures. The potential for migrating can also be tempered by correct positioning and pulling the slack out of the catheter while inflating the balloon. Concerns were raised in the early days regarding the endo-aortic balloon’s association with aortic dissection (19). But this was at a time before CT angiography was routinely implemented to assess the general risk of retrograde perfusion in certain anatomies and before the importance of training in basic wire skills were accepted (19). Proximal migration has also been observed.

Figure 5 Intrathoracic visualization and MV exposure. (A) The opened pericardium is pulled laterally with two 2-0 vicryl sutures without too much tension to prevent phrenic nerve paresis. (B) Placement of a suture in the fatty region covering the interatrial (Waterston) groove. During trans-thoracic external aortic cross-clamping this suture lays loosely reposed in the thorax so as not to impede the surgeon’s visibility whilst clamping the aorta. After administration of cardioplegia and shortly before performing the left atriotomy, this suture gets pulled up to expose both right pulmonary veins. (C) Arrangement of the LA retractor (through the fifth ICS parasternally) and the venting catheter. (D) Complete exposition of the MV with the LA retractor and the venting catheter in optimal position. (E) To enhance the visibility of the subvalvular apparatus Gore-Tex sutures can be placed through the free margin of the prolapsing segment of the posterior leaflet (PML). This maneuver heaves the PML posteriorly thereby opening up the orifice of the MV. (F) The surgeon can work with a flexible nitinol band (Superflex Soft Tissue Retractor by Fehling Instruments, Germany) to open up the MV and precisely inspect the subvalvular apparatus and place pre-measured loops in case of leaflet repair.
and this has the potential to obscure the operative field, especially the left fibrous trigone (19). It may also become non-occlusive to the sinus of Valsalva, resulting in perfusion of the coronary ostia (19). Other disadvantages are possible balloon puncture or rupture during the procedure, the need for bilateral arterial lines to monitor placement and the cost of the catheter itself (19). The data comparing trans-thoracic external aortic cross-clamping with endo-aortic balloon occlusion are limited and solely retrospective, highlighting the fact that there is no significant difference in the safety profiles of the two techniques (7-9,19,27-32). Both techniques have a considerably lower stroke rate than fibrillatory arrest but there is no difference in retrograde aortic dissection, bleeding, or adequacy of myocardial protection (13,19,23,33-35). In their retrospective study, Murzi et al. (36) concluded that MIMVS with trans-thoracic external aortic cross-clamping is a safe and effective procedure. The use of retrograde perfusion in older patients with atherosclerotic burden is associated with an increased risk of postoperative stroke (36). Yet, retrograde perfusion (femoral cannulation) remains a viable option for younger patients without vascular comorbidities (36). If a femoral perfusion technique is chosen in older patients, preoperative evaluation of the aorta and distal vasculature (CT-scan) is indicated to demonstrate that the individual patient is not exposed to an increased neurological risk (36).

Moreover, according to the ISMICS consensus paper of 2011 (37), which compared MIMVS to open mitral valve surgery, MIMVS required longer operative times (19). It also stated that MIMVS had higher incidences of stroke, aortic dissection, phrenic nerve palsy and groin infection rates (19,37). In contrast, MIMVS was associated with a reduction in hospital and intensive care unit stay, in transfusion rate and in the occurrence of postoperative atrial fibrillation.
and ventilation time (19,37,38). Additionally, Schneider et al. (39) showed that transcranial Doppler was useful to detect cerebral microemboli in MIMVS and conventional MV operations. Nonetheless, they found no increased risk of cerebral micro-embolism during the minimally invasive method compared with the conventional technique (39). In addition, Casselman et al. (28) proved within a retrospective European multicenter study that stroke rate and observed mortality compared favourably with the existing literature on MV surgery irrespective of the approach. The ISMICS statement reports that these observations were not based on randomized prospective studies but almost solely on observational studies (19,37). These issues have yet to be definitively addressed and it is true, even today, that no randomized prospective studies have been conducted (19,37). Even the ISMICS statement was founded on literature published before March 2010 and, as they admitted, included only “retrospective studies with important differences in baseline patient characteristics” (19,37). But a few observations can be made. Before 2010 most MIMVR surgeons did not use CTA which might explain the higher incidence of stroke and aortic dissection (19,37). The authors went into great detail concerning the use of CTA in preoperative planning in order to avoid these complications (19). The ISMICS consensus statement did not show an increased mortality when compared to open MV repair (37). In regard to groin complications (lymphocele, arterial complications, infection), the incidence have become rare (19) and some groups have started to utilize percutaneous cannulation techniques with good results (40). It is important to note that most MIMVS surgeons today are well aware of the chance of phrenic nerve palsy and have developed techniques to avoid its occurrence (19).

Onnasch et al. (41) described a series of 39 patients who underwent redo MV surgery via the MIMVS approach with no adhesions in 84% of the patients. However, in their study, the trans-thoracic direct external aortic cross-clamping was avoided, and hence, there was no need for dissection of the ascending aorta and the pulmonary artery trunk (41). But they showed that the right anterolateral minithoracotomy technique has become a standard approach for redo MV procedures and that it could be performed safely with a reduction in possible injury to cardiac structures such as previous coronary artery bypass conduits (venous and internal mammary artery grafts) (41). In their retrospective analysis, Mazine et al. (34) reported the use of the trans-thoracic external aortic cross-clamping...
technique in three redo cases, yet the authors did not conduct a comparison between the two established cross-clamping techniques within this subgroup. Still, the desired approach at the author's institution in the case of a redo MV procedure via a lateral mini-thoracotomy would be application of the endo-aortic balloon occlusion technique or on a fibrillating heart. Having said that, this does not mean that in certain clinical situations and selected patients the trans-thoracic external aortic cross-clamp could not be a good option.

To summarize, all MIMVS team members must possess a deep understanding of the procedure, its potential pitfalls and complications (19). For a successful MIMVS program, it is essential that there is a selection of ideal patients and a well-developed preoperative plan involving the operating room team (19). This team is made up of anesthesiologists, perfusionists and surgeons, all of whom are comfortable with MV surgery including conventional techniques for repair (19).

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