



# How can genetic diagnosis inform the decision of when to operate?

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**Abstract:** Genetic discovery for heritable thoracic aortic disease (HTAD) has been progressing at a brisk pace. Surgical management of thoracic aortic aneurysms and dissections has become more personalized, with genetic factors increasingly informing the decision of when to operate on patients. An improved understanding of genotype-phenotype correlations in patients with HTAD will ultimately lead to gene- and mutation-specific recommendations for surgical repair. Until more robust data from larger cohorts can inform our decisions, patients with HTAD should be seen by an aortic specialist for a tailored approach to elective surgical repair.

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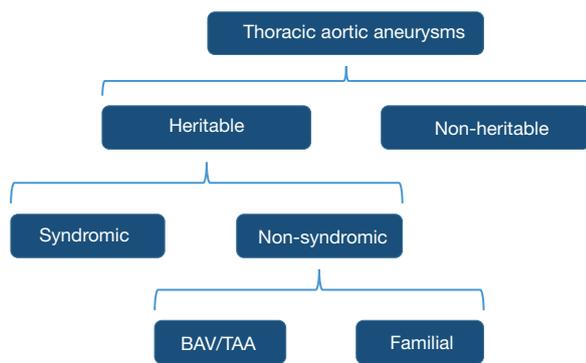
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## Introduction

Aortic aneurysms—largely silent and potentially catastrophic—account for 1% to 2% of all deaths in the industrialized world (1). Clinicians have recognized two predominant spatial distributions of aortic aneurysms that vary considerably in risk factors, pathophysiology, and their natural and clinical histories (2): infrarenal abdominal aortic aneurysm (AAA) and thoracic aortic aneurysm (TAA). The development of infrarenal AAA is driven primarily by atherosclerosis and associated risk factors including age, smoking, hypertension, and male sex (3). In contrast, hereditary predisposition strongly influences the development of TAA, with a 10-fold increased risk in first-degree relatives (4,5). Genetically triggered aortopathies invariably involve the aortic root and ascending thoracic aorta, whereas no monogenic disorder has been described for isolated descending TAAs or AAAs. Clinical and genetic observations suggest that heritable thoracic aortic disease

(HTAD) comprises single-gene disorders that are inherited in an autosomal dominant manner and primarily affect the proximal aorta.

Genetic discovery for HTAD has been progressing at a brisk pace, and the natural and clinical histories of each disorder and affected gene have begun to inform surgical management. Although a complete medical history, a detailed pedigree, and a comprehensive physical exam are still the cornerstones for establishing a diagnosis, genetic testing is useful for confirming the diagnosis, particularly for disorders that have considerable phenotypic overlap. Identifying a pathogenic variant in a known HTAD gene may be helpful in predicting how aortic disease will manifest and in estimating the risk of associated vascular diseases. Genetic testing in the affected proband also permits testing in asymptomatic family members to identify those individuals who need lifelong monitoring. Furthermore, in large families, more personalized pharmacological



**Figure 1** Classification of thoracic aortic aneurysms. BAV/TAA, bicuspid aortic valve-associated thoracic aortic aneurysm.

and surgical management may be achieved by combining genotypic data with a better understanding of genotype-phenotype correlations. In a recent review, Brownstein and colleagues of the Yale group (6) have presented an excellent summary of the 29 HTAD-associated genes identified to date.

### Syndromic vs. non-syndromic TAAs

Patients with HTAD may be classified as having either a syndromic or non-syndromic disorder, with the latter encompassing familial thoracic aortic aneurysms (FTAAs) as well as TAA associated with bicuspid aortic valve (BAV) (Figure 1). The syndromes associated with syndromic aortic disorders include Marfan syndrome (MFS), Loeys-Dietz syndrome (LDS), and vascular Ehlers-Danlos syndrome (vEDS). These are multisystem genetic disorders that segregate in an autosomal-dominant manner. The extra-aortic manifestations typically affect the integumental, musculoskeletal, ocular, craniofacial, and cardiovascular systems. The phenotypic characteristics overlap between the disorders, but each has distinguishing characteristics and prognostic features.

In non-syndromic TAAs, the abnormalities are limited to the cardiovascular system. The familial inheritance of TAAs was first identified in the late 1990s with the observation that up to 20% of individuals with non-syndromic thoracic aortic aneurysms and dissections (TAAD) have a positive family history (7,8). Moreover, this percentage is probably markedly underestimated as not all family members of patients with TAAD undergo routine imaging (9). FTAA is a heterogeneous group of non-syndromic TAA disorders with autosomal dominant inheritance, variable expression,

and incomplete penetrance. Table 1 shows a list of genes associated with HTAD.

### Marfan syndrome

Initially described by a French pediatrician in 1896, MFS is characterized by manifestations in the eye (ectopia lentis and myopia), the skeletal system (overgrowth of long bones, arachnodactyly, scoliosis, and pectus deformities), and the cardiovascular system (aortic root aneurysm and mitral valve prolapse) (10). The cardiovascular manifestations are responsible for the excessive mortality and morbidity observed in these patients (11,12). In 2010, new diagnostic criteria for MFS—known as the revised Ghent nosology—were introduced that integrated genetic testing and gave more weight to the presence of aortic disease and ectopia lentis (Figure 2) (13). The online diagnostic tool at <http://www.marfan.org/dx/home> may aid in assessing patients. MFS is a monogenic disorder caused by mutations in *FBNI*, the gene that encodes the protein fibrillin-1 (14). In about 25% of probands, the disease arises *de novo*, but a clear family history is found in the remaining 75% of patients. To date, approximately 1,850 different mutations have been described (<http://www.umd.be/FBN1/>) of which the majority are unique to each family. Fibrillin-1 is an extracellular matrix glycoprotein that contributes to the formation of microfibrils, which are essential for the elasticity and structural support of numerous tissues.

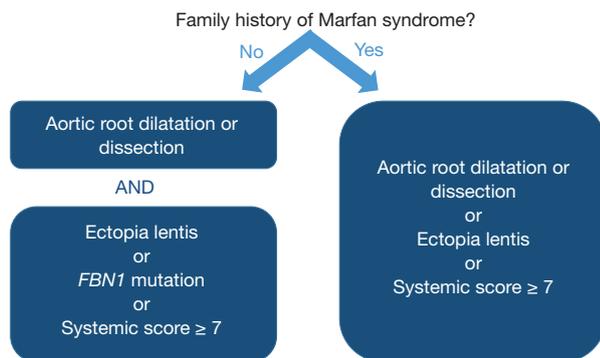
Hence, *FBNI* mutations were initially thought to lead to tissue fragility, exclusively through the disintegration and fragmentation of connective tissue fibers. However, the implication of over-activity of transforming growth factor-beta (TGF- $\beta$ ) signaling in the pathogenesis of MFS has changed the perception of fibrillin-1 from having just a structural role to having a role in dysregulated cell signaling (15,16).

In patients with MFS, the abnormality of the fibrous skeleton of the heart can lead to the development of aortic root aneurysms, as well as mitral valve prolapse (10). TAAs in MFS predominantly manifest as a pear-shaped dilatation of the aortic root, starting at the aortic annulus (annuloaortic ectasia) and extending into the proximal portion of the tubular ascending thoracic aorta. Elective root replacement before dissection develops has greatly improved the life expectancy of patients with MFS (11,17-19), although patients remain at risk for more distal aneurysms and dissections. Despite adequate medical management, 90% of patients with MFS will have aortic surgery or an

**Table 1** Genes associated with heritable thoracic aortic disease

Genes (proteins)	Associated condition
ECM proteins	
<i>FBN1</i> (fibrillin-1)	Marfan syndrome
<i>EFEMP2</i> (fibulin-4)	Cutis laxa, autosomal recessive, type IB
<i>ELN</i> (elastin)	Cutis laxa, autosomal dominant
<i>COL3A1</i> (collagen 3 $\alpha$ -1)	Ehlers Danlos syndrome, type 4
<i>COL4A1</i> (collagen 4 $\alpha$ -1)	HANAC
<i>COL4A5</i> (collagen 4 $\alpha$ -5)	X-linked Alport syndrome
<i>PLOD1</i> (lysyl hydroxylase 1)	Ehlers Danlos syndrome, type 6
<i>PLOD3</i> (lysyl hydroxylase 3)	Bone fragility, contractures, arterial rupture, deafness
<i>LOX</i> (lysyl oxidase)	TAAD
<i>MFAP5</i> (microfibrillar associated protein 5)	Familial TAA
TGF- $\beta$ pathway	
<i>TGFBR1</i> (TGF- $\beta$ receptor 1)	Loeys-Dietz syndrome, familial TAA
<i>TGFBR2</i> (TGF- $\beta$ receptor 2)	Loeys-Dietz syndrome, familial TAA
<i>TGFB2</i> (TGF- $\beta$ 2)	Familial TAA, Loeys-Dietz syndrome
<i>TGFB3</i> (TGF- $\beta$ 3)	Familial TAA, Loeys-Dietz syndrome
<i>SMAD2</i> (SMAD family member 2)	Aortic and peripheral arterial aneurysm and dissection
<i>SMAD3</i> (SMAD family member 3)	Aneurysms-osteoarthritis syndrome, Loeys-Dietz syndrome
<i>SMAD4</i> (SMAD family member 4)	JP/HHT syndrome
<i>SKI</i> (v-SKI sarcoma oncogene homolog)	Shprintzen-Goldberg syndrome
Cytoskeletal/smooth muscle contraction apparatus proteins	
<i>ACTA2</i> ( $\alpha$ -smooth muscle actin)	Familial TAA
<i>MYH11</i> (smooth muscle myosin)	Familial TAA
<i>FLNA</i> (filamin A)	Periventricular nodular heterotopia
<i>MYLK</i> (myosin light chain kinase)	Familial TAA
<i>PRKG1</i> (protein kinase, cGMP-dependent, type I)	Familial TAA
Neural crest migration	
<i>NOTCH1</i> (notch1)	Bicuspid aortic valve with aneurysm
Unknown	
<i>SLC2A10</i> (glucose transporter 10)	Arterial tortuosity syndrome
<i>MAT2A</i> (methionine adenosyltransferase II, $\alpha$ )	Familial TAA
<i>FOXE3</i> (forkhead box 3)	Familial TAA

HANAC, hereditary angiopathy with nephropathy, aneurysms, and muscle cramps; TAA, thoracic aortic aneurysms; TAAD, thoracic aortic aneurysms and dissections; TGF- $\beta$ , transforming growth factor-beta; JP/HHT, juvenile polyposis/hereditary hemorrhagic telangiectasia syndrome.



**Figure 2** Schematic representation of the 2010 revised Ghent criteria for the diagnosis of Marfan syndrome. For details regarding systemic scores, definitions of other criteria, and caveats, see (13).

aortic dissection in their lifetime, reflecting the lack of effective targeted therapies to prevent aortic events in these patients (10).

### Loeys-Dietz syndrome and other TGF- $\beta$ vasculopathies

The clinical features of LDS overlap substantially with those of MFS, and it was not recognized as a separate disease until 2005 (20). Characteristic features of LDS include hypertelorism, craniosynostosis, bifid uvula, cleft palate, and arterial tortuosity as well as TAAD. Patients with LDS may also have systemic manifestations of MFS (pectus deformity, scoliosis, and arachnodactyly) or EDS (easy bruising, thin skin, and uterine rupture with pregnancy). Compared with MFS, LDS has more severe and disseminated cardiovascular manifestations; dissections and ruptures occur at smaller aortic diameters, in peripheral arterial beds, and at younger ages (21,22). TAAs in patients with LDS can increase in diameter more than 1.0 cm per year, and one-third of patients experience a vascular event (dissection, surgery, or death from aortic dissection or rupture) before 19 years of age (23,24). Arterial tortuosity is typically observed in the neck vessels and is a known marker of adverse aortic outcomes (25). Ectopia lentis is not a feature of LDS.

Mutations in genes encoding TGF- $\beta$  receptor I (*TGFBR1*) and receptor II (*TGFBR2*) were the first reported genetic causes of LDS (20,23). Subsequently, gene mutations in other components of the TGF- $\beta$  signaling pathway, including *SMAD3*, and the TGF- $\beta$ 2 ligand genes 2 (*TGFB2*) and 3 (*TGFB3*), have been identified as causes of HTAD (21,26-32). Although initially identified in patients

with syndromic presentations, mutations in TGF- $\beta$  pathway genes have also been identified in isolated FTAA (33,34). It is increasingly recognized that patients and families with TGF- $\beta$  vasculopathies present with a phenotypic continuum of various clinical features ranging from mild to severe extra-aortic manifestations (35). However, it is unclear why some mutations cause LDS and others result in mild disease. Detailed genotypic and phenotypic correlations have not emerged, but preliminary findings suggest that families with *TGFB2/3* mutations have a less severe cardiovascular phenotype and a higher degree of non-penetrance than those with *TGFBR1/2* mutations (36,37).

### Smooth muscle contraction vasculopathies

Vascular smooth muscle cells (SMCs), which are the basic contractile unit of the aorta, regulate pressure and flow. The SMC contractile apparatus contributes to aortic structure and function, and mutations in these molecules play an important role in TAAD pathogenesis. Causative mutations associated with FTAA have been identified in genes encoding smooth muscle-specific alpha-actin (*ACTA2*), vascular smooth muscle contractile protein beta-MHC (*MYH11*), myosin light chain kinase (*MYLK*), and a type 1 cyclic guanosine monophosphate-dependent protein kinase that controls SMC relaxation (*PRKG1*). Heterozygous mutations of *ACTA2* interfere with actin filament assembly and account for 10% to 14% of all FTAA (38). Patients present with TAA, livedo reticularis, iris flocculi, patent ductus arteriosus, and non-thoracic aneurysms. These patients may also develop occlusive disease as a result of SMC hypertrophy, including premature coronary artery disease, ischemic stroke, and Moyamoya disease. *MYH11* encodes SMC myosin heavy chain, which is a major contractile protein in vascular smooth muscle. Mutations in *MYH11* are found in patients with FTAA and are associated with patent ductus arteriosus (39). Mutations in *MYLK* account for 1% of patients with FTAA and are associated with acute aortic dissection with little or no aortic enlargement (40).

### Bicuspid aortic valve associated thoracic aneurysms

The prevalence of dilatation of the ascending aorta among patients with BAV ranges from 20% to 84% (41). Different patterns of BAV aortopathy have been identified; some primarily affect the ascending aorta with or without the

**Table 2** Factors to consider when determining timing of surgical repair

Absolute aortic diameter
Indexed aortic diameter
Age of patient
Aneurysm morphology (saccular vs. fusiform)
Etiology of aneurysm (inflammatory, mycotic, degenerative, etc.)
Hereditary cause (specific gene and variant, if known)
Family history of aortic catastrophe (consider age and diameter at aortic event, if known)
Rate of expansion
Valvular lesion
Contemplating pregnancy
Comorbidities and life expectancy of patient
Risk of proposed intervention

proximal arch, and others predominantly involve the aortic root, often with annuloaortic ectasia. Of note, family members of patients with BAV-associated aortopathy may have BAV and TAAD, TAAD with a tricuspid aortic valve, or BAV without TAAD (42). First-degree relatives of patients with BAV should be screened for both valvular abnormalities and TAAD.

Although proximal aortic aneurysms are common in patients with BAV, the incidence of aortic dissection in contemporary series has been lower than previously suspected. In a Toronto study of 642 patients with BAV, the incidence of aortic dissection was 0.1% per patient year of follow-up (43). In a report from Olmstead County, patients with BAV were 86 times more likely to have proximal aortic aneurysms and 8 times more likely to experience aortic dissection compared to controls (44). Despite this high relative risk of dissection, the absolute risk was quite low at 3.1 cases per 10,000 person-years during a mean follow-up of 16±7 years. Furthermore, no dissections were reported in patients with an aortic diameter smaller than 4.5 cm at baseline or in those with a normally functioning aortic valve (44). Multispecialty guidelines have increased the threshold for elective replacement of the proximal aorta from 5.0 to 5.5 cm in patients with BAV who have no additional risk factors (45-47). Emerging literature also supports a more conservative approach to the aortic root in these patients. For example, recent reports suggest that the remaining sinus segments do not dilate at a clinically

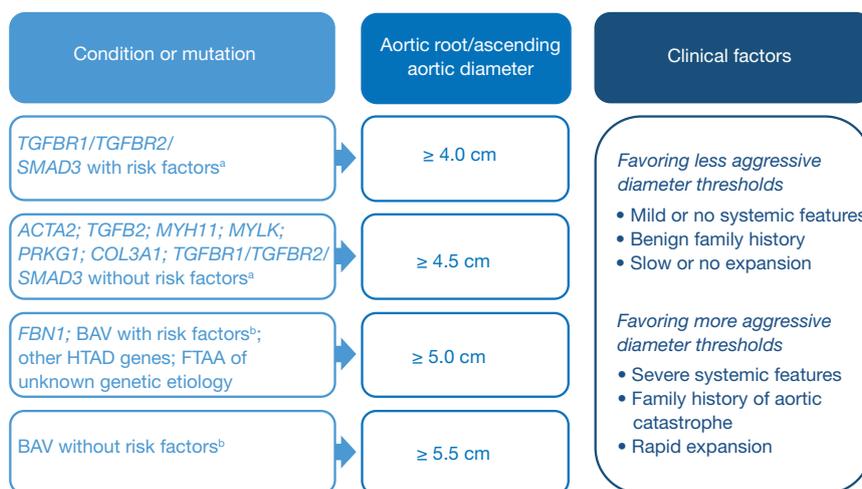
meaningful rate after replacement of the ascending aorta in patients with BAV who do not have an aortic root aneurysm at the time of operation (48,49). Thus, a prophylactic root replacement procedure may not be warranted in patients with non-dilated sinus segments at the time of ascending aortic surgery.

## Surgical management of HTAD: when and how?

### *Surgical thresholds*

The surgical threshold for elective replacement of the proximal aorta in patients without HTAD is based on the few classic clinical history studies conducted by the Yale group (50,51). Although diameter is the best-known predictor of catastrophic aortic dissections, aortic dissections—including DeBakey types I and II (Stanford type A) and DeBakey type III (Stanford type B)—commonly occur at aortic dimensions not considered aneurysmal (52,53). Factors other than absolute size should be considered when deciding whether or not to recommend elective aneurysm repair (Table 2). As patients with thoracic aortic aneurysms are most often asymptomatic, surgery is generally suggested only to those in whom the risk of rupture or dissection is considered significant in the context of their overall life expectancy.

Patients with HTAD who have either a known genetic cause or a family history of TAAD should undergo elective repair of their aorta at smaller diameters than those with non-heritable aneurysms. As our knowledge of the clinical history of patients with HTAD evolves, gene-specific and even mutation-specific recommendations may be possible. Figure 3 summarizes our current recommendations regarding surgical thresholds for asymptomatic proximal aortic aneurysms in patients with HTAD. In deciding on the exact diameter at which to recommend aortic repair, physicians should weigh factors that affect the individual's risk of aortic catastrophe against the perioperative risks of the proposed intervention. For example, for a patient with a *TGFBR1* mutation without either syndromic features or a malignant family history, it would be reasonable to wait until a threshold of 4.5 cm is reached before recommending elective root repair; however, for a patient with a *TGFBR1* mutation, a high degree of arterial tortuosity, and a family history of aortic dissection at small dimensions, using a lower threshold and recommending root repair at a diameter of 4.0 cm would be prudent. Similarly, for those with a *TGFBR2* mutation, a threshold of 4.5 cm would



**Figure 3** Simplified schematic illustration of aortic root/ascending aortic diameter thresholds for elective surgical repair. Individual genes and conditions are paired with one of four diameter thresholds above which elective repair is generally recommended: (I)  $\geq 4.0$  cm; (II)  $\geq 4.5$  cm; (III)  $\geq 5.0$  cm; and (IV)  $\geq 5.5$  cm. Factors that influence the decision about where to set a threshold for individual patients are listed. Rapid expansion is an increase in aortic diameter  $>0.5$  cm/year. <sup>a</sup>, For *TGFBR1* and *TGFBR2*, risk factors include systemic features (arterial tortuosity, hypertelorism, wide scars, small body surface area), rapid aortic expansion, and family history of aortic catastrophe (35). Aortic dissections at small diameters have been observed almost exclusively in women who have *TGFBR2* mutations and a small body surface area and syndromic features (35). <sup>b</sup>, For BAV, risk factors include rapid aortic expansion and family history of aortic catastrophe (47). BAV, bicuspid aortic valve; FTAA, familial thoracic aortic aneurysm; HTAD, heritable thoracic aortic disease.

be reasonable in a man with mild phenotypic features, whereas a threshold of 4.0 cm would be more appropriate in a woman who has a small body surface area or severe phenotypic features, such as wide scars (35). When interpreting aortic measurements and expansion of the aorta, it is important to consider the variability of diameter measurements; for example, computed tomography measurements of proximal aortic diameter can vary between 1.6 to 5 mm (54). Thus, apparent small changes in the diameter on serial imaging studies, especially non-electrocardiographically gated studies, may be within the measurement error. This may be particularly important when evaluating serial studies for patients with HTAD and genetic variants for whom repair has been recommended at lower diameters (4.0–4.5 cm).

A tailored approach based on clinical factors is currently recommended for patients with BAV (47). For those who are undergoing surgery to address aortic valve dysfunction, concomitant replacement of the ascending aorta is recommended if the aortic diameter is  $\geq 4.5$  cm. For those who do not have a valvular indication for surgery, replacement of the aortic root and/or ascending aorta is recommended if the aortic diameter is  $\geq 5.0$  cm for BAV

patients with rapid aortic dilatation ( $>0.5$  cm per year) or a family history of aortic dissection, and if the diameter is  $\geq 5.5$  cm for patients without additional aortic risk factors.

The data are insufficient to recommend gene-specific thresholds for elective repair of the aortic arch and thoracoabdominal aorta in patients with HTAD. Total arch and thoracoabdominal aortic repairs are associated with higher perioperative morbidity and mortality than elective root repair, and as such the recommended dimensions for repair are larger for the distal aorta than for the proximal aorta. Patients with HTAD, particularly those with a chronic dissection, should undergo repair of the distal aorta at dimensions smaller than those recommended for patients with non-heritable aneurysms. Ultimately, surgeons must balance the risk of the proposed intervention against the risk of aortic catastrophe when evaluating patients with TAAD.

### *Special populations*

During pregnancy, hemodynamic alterations and changes in the aortic media lead to an increased rate of aortic dilatation. The incidence of aortic dissection is estimated

**Table 3** Threshold for pre-pregnancy elective root repair in patients

Loeys-Dietz syndrome	≥4.0 cm
Marfan syndrome	4.0–4.5 cm
Turner syndrome	≥27 mm/m <sup>2</sup>
Bicuspid aortic valve	4.5–5.0 cm
Others	≥5.0 cm
Vascular Ehlers-Danlos syndrome	Contraindicated

to be 100-fold higher in the general population during pregnancy, and the risk is likely greater in patients with HTAD (55). Women contemplating pregnancy who are at risk of aortic events should undergo a preconception evaluation that includes baseline cross-sectional imaging and risk stratification in a high-risk pregnancy clinic. The option of in-vitro fertilization with pre-implantation genetic diagnosis should be discussed. *Table 3* summarizes our suggested thresholds for elective pre-pregnancy aortic root repair. Elective root replacement does not guarantee the absence of risk, as the distal aorta remains susceptible to acute dissection. Close imaging surveillance with echocardiography or magnetic resonance imaging is recommended during pregnancy and the post-partum period; beta-blockers and blood pressure control are the mainstay of medical therapy for those at risk of rupture and dissection (56). Pregnant women with HTAD should have a clear plan for labor and delivery formulated by a multidisciplinary team of obstetricians, cardiologists, and cardiovascular surgeons.

### Technical considerations

We advocate for more aggressive aortic resection in patients with known HTAD to reduce the likelihood of reintervention on adjacent segments of aorta. For example, patients with aortic root aneurysm who have HTAD often have annuloaortic ectasia, and stabilizing the annulus at the time of root replacement would be beneficial for these patients. In patients with MFS, valve-sparing root replacement with a reimplantation technique has been shown to be a more durable strategy than remodeling (18). Although the literature is sparse, we believe similar results would be observed for patients with LDS and other genetic conditions associated with annuloaortic ectasia. We argue against partial root

replacement (i.e., replacement of only one or two of the sinuses) in those with HTAD and an aortic root aneurysm. With regard to the distal aorta, we do not have data to support prophylactically replacing the arch during proximal aortic surgery in patients with a non-dilated arch. It is reasonable, however, to replace the proximal arch (hemi-arch repair) in patients with HTAD during proximal aortic repair if it can be achieved with limited incremental risk (57). During replacement of the transverse arch or the thoracoabdominal aorta, we advocate for the use of individual branched grafts to the supra-aortic and visceral vessels, respectively. The use of large Carrel patches (islands) for patients with HTAD is not advised as patch aneurysms may develop in the remnant segments of the aorta.

The role of endovascular stent grafts in patients with HTAD has been limited and requires further study. In patients with MFS treated with thoracic endovascular aortic repair (TEVAR), high rates of stent migration and endoleaks and even cases of aortic rupture have been reported (58–61). Endovascular stent-grafts have been successfully used as a life-saving bridge to definitive repair (62) and in cases where the stent-graft is anchored in Dacron proximally and distally (63). In light of the poor outcomes of standard TEVAR procedures in patients with HTAD, we recommend restricting the use of such procedures to patients who are truly not candidates for open repair. Future developments in technology may further refine and expand the currently limited role of endovascular aortic repair for the management of patients with HTAD.

### Conclusions

Although evolving medical and surgical approaches have significantly improved the life expectancy of patients with HTAD, additional strategies to further decrease aortic events are warranted. Surgical management of TAAD has become more personalized, with genetic factors increasingly informing the decision of when to operate on patients. An improved understanding of genotype-phenotype correlations in patients with HTAD will ultimately lead to gene- and mutation-specific recommendations for surgical repair. Until more robust data from larger cohorts can inform our decisions, patients with HTAD should be seen by an aortic specialist to develop a tailored approach to elective surgical repair.

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## Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

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